



AUTO PLAN TUNING USING FEEDBACK LOOP

PGConf.Russia 2019

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Who I am?



• Tatsuro Yamada

- From Tokyo, Japan

•Work

- for NTT Open Source Software Center
- Database consulting for NTT Group companies
- Oracle_fdw committer
- Organizers of PGConf.Asia

Interest

- Listening to Bossa-nova and Jazz samba
- Skiing, Craft beer
- Plan tuning



Agenda



- 1. Background of plan tuning
- 2. Mechanism of pg_plan_advsr
- **3. Verification of effectiveness using JOB** [1]
- 4. Demonstration
- 5. Using aqo and pg_plan_advsr together
- 6. Conclusion

[1] Join order benchmark



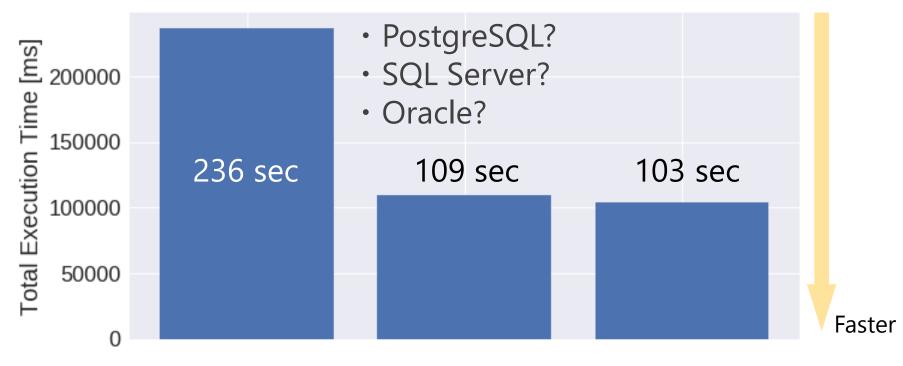
Berckground of plan tuning

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Question



•What are these graphs?



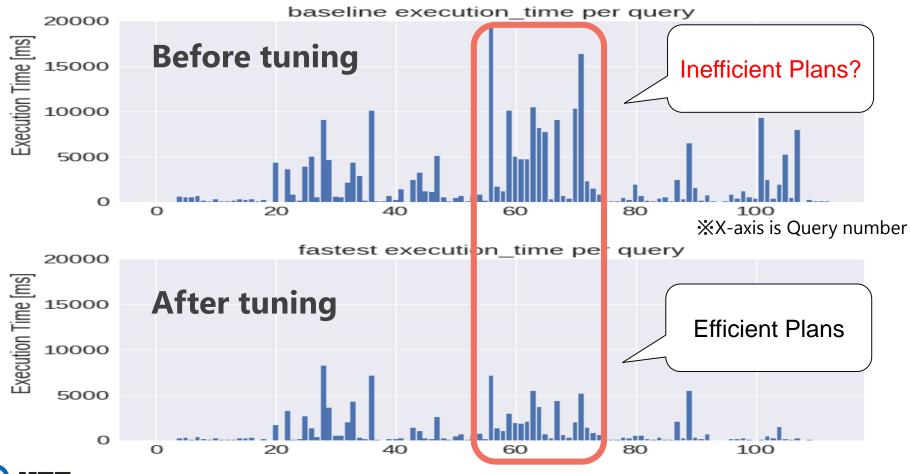
After These are before and after plan tuning in PostgreSQL

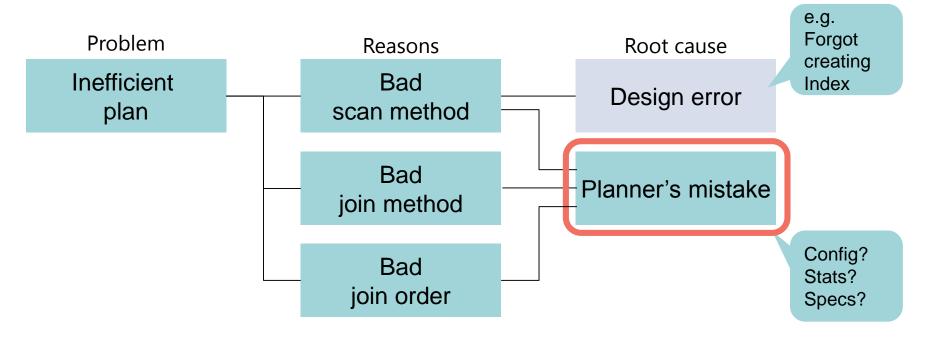


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Difference between Before and After tuning

•Almost all queries execution time are decreasing. Especially, middle part of the graph.





Planner takes mistakes sometimes. What kind of mistakes?



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planner's behavior

See Appendix:

- •The Ideally, there should be no cardinality estimation error.
- •Such mistakes tend to select an inefficient plan.

DBA and user have to do various tunings to get efficient plan.

Well known examples of planner mistakes

Cardinality estimation error (aka Row count estimation error)

- Over estimate
 - Estimated Rows = 5000 but Actual Rows = 1
- Under estimate
 - Estimated Rows = 1 but Actual Rows = 50000



Conventional plan tuning methods



Improve accuracy of estimated rows

- Change the acquisition timing and sampling amount for Stats
- Use extended statistics
- Use pg_dbms_stats

•Modify scan, join methods and join order

- Index tuning
- Use GUC parameter
- Rewrite queries
- Use Optimizer Hint

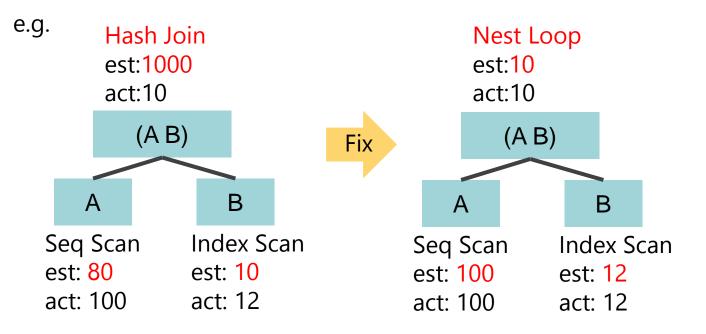
DBA and user use EXPLAIN ANALYZE command for the tunings



Idea for getting more efficient plan



• Because, we know Actual rows by Explain Anayze command.



The idea is simple, but implementation is hard because there is no interface to correct.

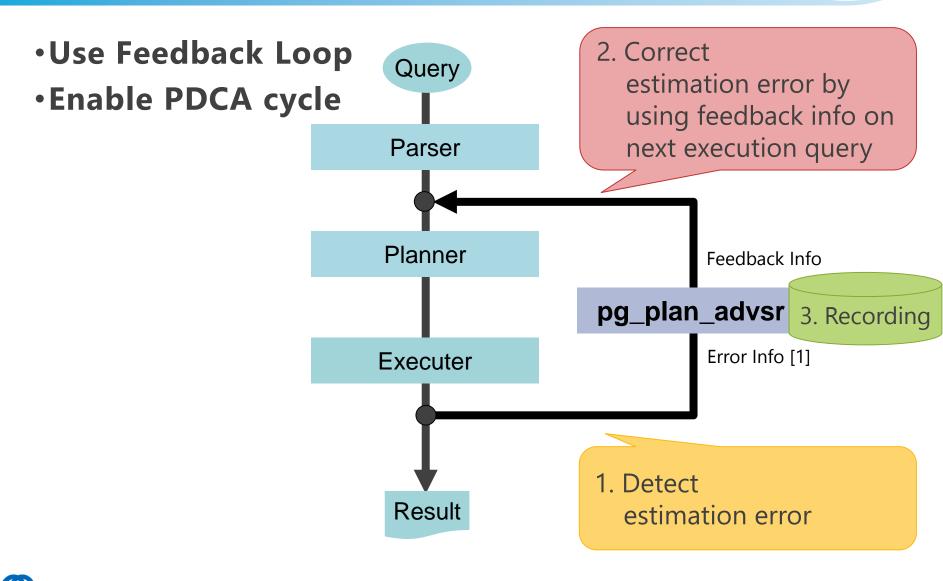


novative R&D by N



Rough concept of pg_plan_advsr





Three main features



^{1.} Detect estimation error and Create Feedback info

- Feedback info is a corrective info made by estimation error
 -> ROWS hint
- Also, create hints to reproduce a plan
 - -> SCAN, JOIN, LEADING hints



^{2.} Correct estimation error

• To fix estimation rows by feedback info genelate a new efficient plan on next query iteration

3. Record plan history

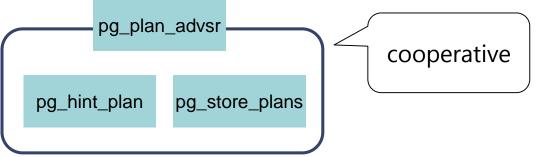
• Store query, plan, execution time, feedback info, hints for reproduce a plan and so on





Implementation of pg_plan_advsr

- 1. Detect estimation error to create feedback info
 - ExecutorEnd_hook
 - New walker of queryDesc
- Correct estimation error by using feedback info
 pg_hint_plan's Hint table feature and ROWS hints
- 3. Record Plan history
 - ExecutorEnd_hook
 - Create Plan_history table to store all info
 - pg_store_plans is also used complementary







•When

• This extension is assumed to be used in the plan tuning process (test phase) within system development.

•How to use

•Use **EXPLAIN ANALYZE** command until no estimation error.

•Note

• Stable data (no updates) during tuning is a precondition because changing data doesn't get converged.



Verification of effectiveness using Join order benchmark





Verification of effectiveness using Join order benchmark



Preconditions:

- •PG 10.4
- Data is stable and **on memory** (using pg_prewarm)
- Iterations for tuning: Maximum 64 times per query
- Benchmark tool: Join order benchmark (113 queries)

Parameters

- random_page_cost 2
- shared_buffers 2GB
- work_mem 16MB
- default_statistics_target 100
- geqo_threshold 18
- max_worker_processes 8
- max_parallel_workers_per_gather 0
- max_parallel_workers 0
- shared_preload_libraries = pg_hint_plan, pg_plan_advsr, pg_store_plans



OS: Centos 7.1 CPU: i7-2600 3.4GHz 4core / 8thread Mem: 16GB Disk: SSD



Jupyter notebook with psycopg2

auto_tune_poc 15-JOB_fkey ×	
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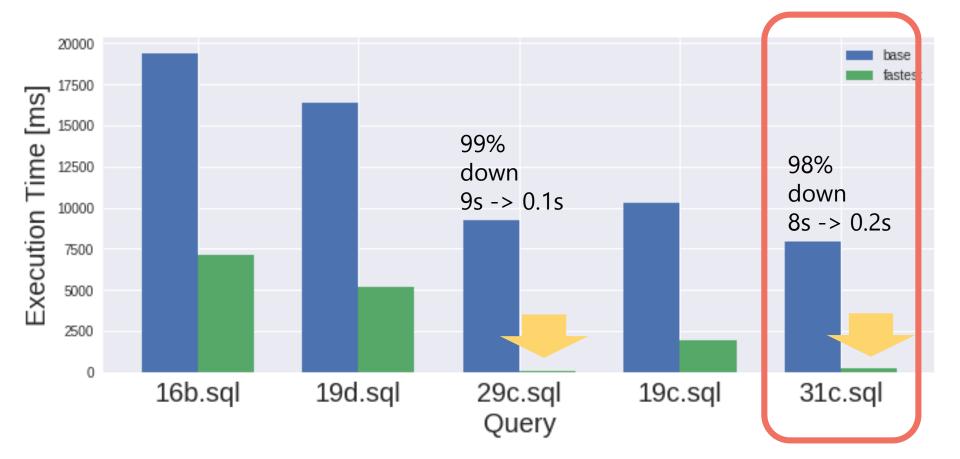


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Top 5 highly effective query



Achieved a great benefit for Query 29c and 31c







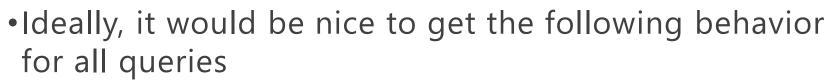
•31c.sql includes 10 joins and 4 aggregate functions.

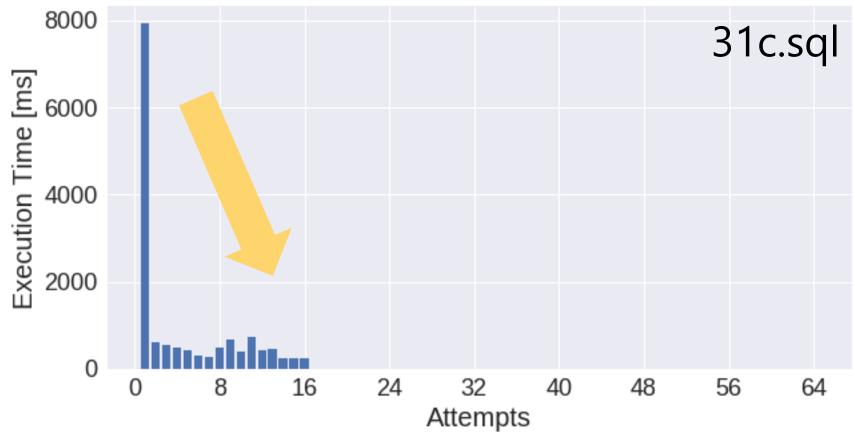
AND cn.name LIKE 'Lionsgate%' AND ci.movie_id = mi.movie_id SELECT MIN(mi.info) AS movie budget, MIN(mi_idx.info) AS movie_votes, AND it1.info = 'genres' AND ci.movie_id = mi_idx.movie_id MIN(n.name) AS writer, AND it2.info = 'votes' AND ci.movie id = mk.movie id MIN(t.title) AS violent_liongate_movie AND k.keyword IN ('murder', AND ci.movie_id = mc.movie_id 'violence', FROM cast_info AS ci, AND mi.movie_id = mi_idx.movie_id company_name AS cn, 'blood', AND mi.movie id = mk.movie id info_type AS it1, 'aore', AND mi.movie id = mc.movie id info_type AS it2, 'death', AND mi_idx.movie_id = mk.movie_id AND mi idx.movie id = mc.movie id keyword AS k, 'female-nudity', movie_companies AS mc, 'hospital') AND mk.movie_id = mc.movie_id movie info AS mi, AND mi.info IN ('Horror', AND n.id = ci.person id movie info idx AS mi_idx, 'Action', AND it1.id = mi.info type id movie_keyword AS mk, AND it2.id = mi idx.info type id 'Sci-Fi' AND k.id = mk.keyword id name AS n, 'Thriller', AND cn.id = mc.company_id; title AS t 'Crime', WHERE ci.note IN ('(writer)', 'War') '(head writer)', AND t.id = mi.movie id AND t.id = mi idx.movie id '(written by)', '(story)', AND t.id = ci.movie id '(story editor)') AND t.id = mk.movie id

AND t.id = mc.movie id



Expected behavior of execution times



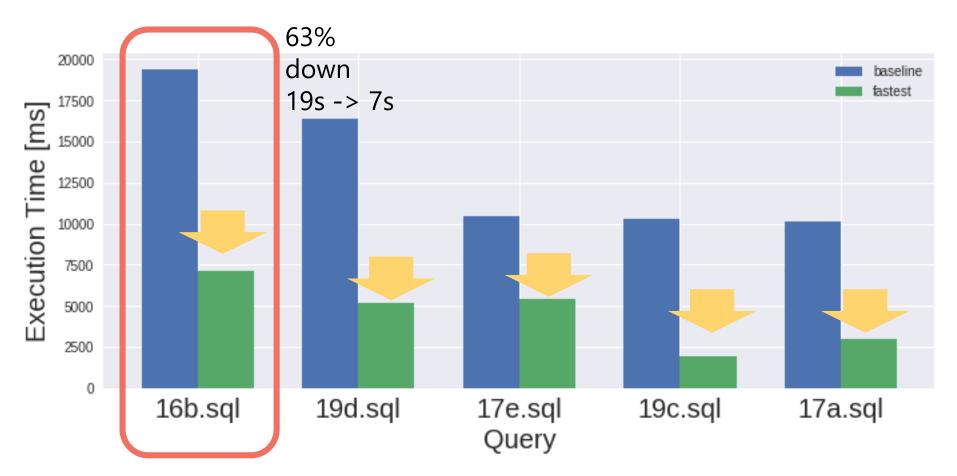




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•The execution times were halved.







16b.sql includes 7 joins and 2 aggregate functions.

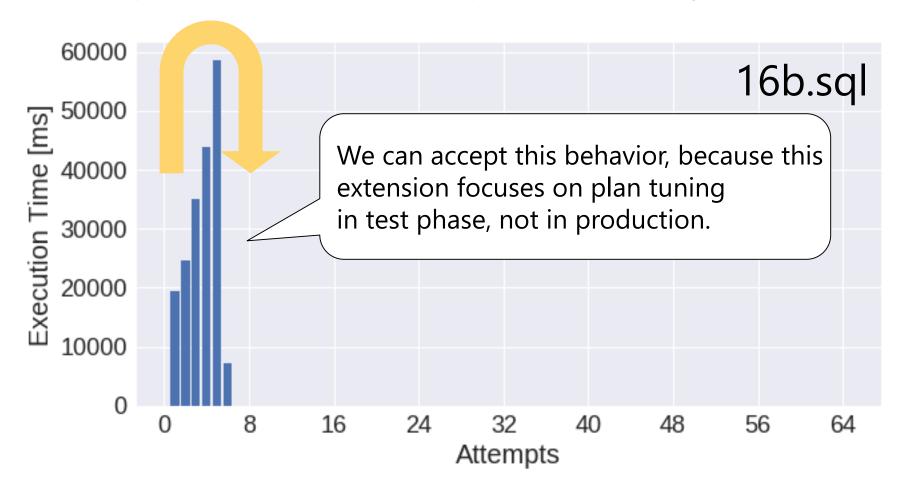
SELECT MIN(an.name) AS cool_actor_pseudonym, MIN(t.title) AS series named after char FROM aka_name AS an, cast info AS ci, company_name AS cn, keyword AS k, movie_companies AS mc, movie_keyword AS mk, name AS n, title AS t WHERE cn.country_code ='[us]' AND k.keyword ='character-name-in-title' AND an person id = n.idAND n.id = ci.person id AND ci.movie_id = t.id AND t.id = mk.movie id AND mk.keyword_id = k.id AND t.id = mc.movie id AND mc.company_id = cn.id AND an.person_id = ci.person_id AND ci.movie id = mc.movie id AND ci.movie id = mk.movie id AND mc.movie id = mk.movie id;



Execution Time history of query 16b



•Good plan after several bad plans. Is is Okay?





Plan history of Q16b



•The history table has all information of plan tuning.

	(ms)						
	Times	Queryid	Plan_id	Exec	ition_time	Total_diffs	
	0		671501202		19396.89	9670384	
	1		3725435884		24567.85	9504160	
	2		3151720077		35021.45	13242801	
	3		150735307		43750.84	17546662	
	4		1918733225		58548.67	23380179	
	5		1368113010		7145.19	0	
⇒	Rov	vs_hint	Scan_hint	Jo	oin_hint	Lead_hint	

•You can see the Plan id had changed until the last time.

Feedback control has worked!

"Total_diffs" is the sum of row count estimation errors in the joins Copyright©2018 NTT Corp. All Rights Reserved. 25

Plan changes of Q16b

```
2
Iterations:
                                                 3
                                                                 5
                                  1
                          \mathbf{O}
                                                         4
                          6
                           Aggregate
                           -> Hash Join
                               Hash Cond: (an.person_id = n.id)
                               -> Seq Scan on aka_name an
                               -> Hash
                                  Buckets: 262144 Batches: 16 Memory Usage: 12256kB
                                  -> Hash Join
                                     Hash Cond: (ci.person_id = n.id)
                                     -> Nested Loop
                                        Join Filter: (t.id = ci.movie id)
                                        -> Hash Join
                                            Hash Cond: (mc.company_id = cn.id)
                                            -> Nested Loop
                                               -> Nested Loop
                                                  -> Nested Loop
                                                     -> Seq Scan on keyword k
                                                        Filter: (keyword = 'character-name-in-title'::text)
                                                         Rows Removed by Filter: 134169
                                                     -> Bitmap Heap Scan on movie_keyword mk
                                                        Recheck Cond: (keyword_id = k.id)
                                                        Heap Blocks: exact=11541
                                                        -> Bitmap Index Scan on keyword_id_movie_keyword
                                                            Index Cond: (keyword_id = k.id)
                                                  -> Index Scan using title_pkey on title t
                                                     Index Cond: (id = mk.movie_id)
                                               -> Index Scan using movie_id_movie_companies on movie_companies mc
                                                  Index Cond: (movie_id = t.id)
                                            -> Hash
                                               Buckets: 131072 Batches: 1 Memory Usage: 4007kB
                                               -> Seq Scan on company_name cn
                                                  Filter: ((country_code)::text = '[us]'::text)
                                                  Rows Removed by Filter: 150154
                                        -> Index Scan using movie_id_cast_info on cast_info ci
                                            Index Cond: (movie_id = mk.movie_id)
                                     -> Hash
                                        Buckets: 524288 Batches: 16 Memory Usage: 13245kB
                                        -> Seq Scan on name n
```







Plan shapes of Q16b



First iteration

- Aggregate (cost=4645.64.4645.65 rows=1 width=64) (actual time=20608.515.20608.515 rows=1 loops=1) -> Nested Loop (cost=7.51.4630.64 rows=2999 width=33) (actual time=5.001.19980.694 rows=3710592 loops=1) Join Filter: (rid = an.person.id)
 - Nested Loop (cost=7.09.4021.98 rows=1253 width=25) (actual time=4.989.12126.936 rows=2832555 loops=1)
 Nested Loop (cost=6.66.3456.65 rows=1253 width=21) (actual time=4.974.4445.613 rows=2832555 loops=1) Join Filter (tid = ci.movie.id)
 - -> Nested Loop (cost=6.09..3333.83 rows=66 width=29) (actual time=4.768..886.401 rows=68316 loops=1)
 - Nested Loop (cost=5.67.3251.47 rows=186 width=33) (actual time=4.738.431.818 rows=148552 loops=1)
 Parallel Nested Loop (cost=5.24.3231.47 rows=34 width=25) (actual time=4.724.225.903 rows=41840 loops=1)
 - > Parallel Nested Loop (cost=5.24.3231.47 rows=34 width=25) (actual time=4.724.225.903 rows=41840 loops=1)
 > Nested Loop (cost=4.81.3215.61 rows=34 width=4) (actual time=4.714.65.081 rows=41840 loops=1)
 > Seg Scan on keyword k (cost=00.0.2626.12 rows=1 width=4) (actual time=0.445.10.156 rows=1 loops=1)
 - -> Seq scan on keyword × (cost=0.00.2626.12 rows=1 width=4) (actual time=0.445...10.156 rows= Filter: (keyword = 'character-name-in-title':text) Rows Removed by Filter: 134169
 - -> Bitmap Heap Scan on movie_keyword mk (cost=4.81.586.42 rows=307 width=8) (actual time=4.267.47.872 rows=41840 loops=1) Recheck Cond: (keyword_id = kid) Heap Blocks: exact=11541
 - -> Bitmap Index Scan using keyword_id_movie_keyword (cost=0.00.4.74 rows=307 width=0) (actual time=2.816.2.816 rows=41840 loops=1) Index Cond: (keyword id = kid)

-> Nested Loop (rows= 2999) (actual rows=3710592)

- -> Nested Loop (rows=1253) (actual rows=2832555)
- -> Index Scan using ... an (rows=2) (actual rows=1)
- -> Hash Join (rows=3710592) (actual rows=3710592)
 - -> Seq Scan on ... an (rows=901343) (actual rows=901343)
 - -> Hash (rows=2832555) (actual rows=2832555)
- By correcting estimated rows on lower nodes, join method and join order on the top node got fixed automatically.



Last iteration

- Aggregate (cost=569619.76.569619.77 rows=1 width=64) (actual time=7724.114.7724.114 rows=1 loops=1) -> Hash Doin (cost=489696.1.551066.80 rows=3710592 width=33) (actual time=6148.042.7284.667 rows=3710592 loops=1) Hash Cond: (ancerson id = n.id)
 - Hash Condo: (an.person.jd = n.id) -> Seq Scan on aka_name an (cost=0.00.20409.43 rows=901343 width=20) (actual time=0.006,.125.215 rows=901343 loops=1) -> Hash (cost=434198.68.434198.68 rows=2832555 width=25) (actual time=6147.564.6147.564 rows=2832555 loops=1)
 - Habin (cdst=45415060.45415060.45415060.454150601008)=22525353 Witht=251(cdtaal time=0147.504.0147.5041008)=2632535 Hotps=1) Buckets: 262144 Batches: 16 Memory Usage: 12256kB -> Habi Join (cost=172645.20.434198.68 rows=2832555 width=25) (actual time=1244.367..5588.425 rows=2832555 loops=1)
 - Hash John (Lose | 1/204520.4341 95:06 low = 2652353 width=23) (actual time = 1244,567.3566423 low = 2652353 lodps = 1) Hash Cond; (ci.person_id = nid)
 Nested Loop (cost=6986.59.183304.07 rows=2832555 width=21) (actual time=57.009.2836.849 rows=2832555 loops=1)
 - -> Nested Loop (cost=0960.54, 103304.07 rows=2032555 width=21) (actual time=57.009.2036.049 rows=2032555 loops=1)
 Join Filter: (Lid = ci.movie.jd)
 -> Hash Join (cost=6986.02..56192.08 rows=68316 width=29) (actual time=56.826.536.766 rows=68316 loops=1)
 - * Hash John (Company_id = cnid) Hash Cond: (mc.company_id = cnid) -> Nested Loop (cost=5.67.47336.27 rows=148552 width=33) (actual time=5.417.443.411 rows=148552 loops=1)
 - Nested Loop (cost=524.2273.15 rows=41840 width=25) (actual time=5.382.251.833 rows=41840 loops=1)
 Nested Loop (cost=4.81.3215.61 rows=41840 width=4) (actual time=5.366.70.316 rows=41840 loops=1)
 See See per beingend (rows=41840 width=4) (actual time=5.366.70.316 rows=41840 loops=1)
 - > Seq Scan on keyword k (cost=0.00_2020.12 rows=1 width=4) (actual time=0.556_10.051 rows=1 loops=1) Filter. (keyword = 'character-name-in-title':text) Rows Removed by Filter 124169

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Fixed



• Various hints are stored in the history table.

Times	Queryid	Plan_id	Execution_time	Total_diffs
0		671501202	19396.89	9670384
1		3725435884	24567.85	9504160
2		3151720077	35021.45	13242801
3		150735307	43750.84	17546662
4		1918733225	58548.67	23380179
5		1368113010	7145.19	0

Rows_hint	Scan_hint	Join_hint	Lead_hint







•Rows Hints allow to override estimated rows on joins.



•These are "feedback information" for plan.





•We can check feedback information to check ROWS hints.

Times	Rows_hint	Total_diffs
0	ROWS(an ci cn k mc mk n t #3710592)	9670384
1		9504160
2		13242801
3		17546662
4	ROWS(ci k mc mk n t #7796926) 	23380179
5	Nothing!!	0



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Scan, Join and Leading hints of Q16b



•pg_plan_advsr also generated and stored these hints.



- Why these hints are stored?
- Because
 - These hints can expresses a plan structure.
 - By using these hints, you can do followings:
 - 1) **Reproduce the plan at a certain point**
 - 2) Move the plan to other databases
 - 3) Try your idea to modify the hints manually





• Table "an" moved to left-most side from right-most side.

times	Lead_hint		
0	LEADING((((((((((mk)t)mc)cn)ci)n)an))		
1			
2			
3			
4			
5	LEADING((an ((((((k mk)t)mc)cn)ci)n)))		

We can understand plan changes easily by using these hints.







- pg_plan_advsr was able to improve the plan by reducing row count estimation error.
- •In the measurement result, it reduced about 50% in execution time.
- •It is possible to utilize execution information as a feedback info for auto plan tuning.
- •DBA and user are able to get efficient plan easily by pg_plan_advsr!





•Scenario

- 1. Prewarm all tables
- 2. Execute "psql –f 31c.sql" 8 times for auto plan tuning
- 3. Check Plan history table to see plan changes

Eenvironment

- This Laptop (i5-6300U 2.4GHz 2core/4 thread, Mem 16GB, SSD, CentOS7.1 on VirtualBox)
- PostgreSQL configuration is same as before



Using aqo and pg_plan_advsr together



- aqo is a sophisticated plan tuning extension since it corrects base and join relations
- pg_plan_advsr has a lot of limitations because now is in POC phase

•Experimental result by using 31c.sql

• It works fine! Settings:

shared_preload_libraries = pg_hint_plan, pg_plan_advsr, pg_store_plans, aqo

aqo and pg_plan_advsr work together complementaly

Benefits

- Get more efficient plan than pg_plan_advsr (because aqo)
- Allow to create hints for reproduce a plan
- Allow to check plan changes using plan history table



Conclusion



- In this talk, I have shared my experience of trying to get more efficient plans for complex queries using my POC extension: pg_plan_advsr.
- •I hope that I was able to prove that PostgreSQL can be improved to get more efficient plan using feedback loop.
- •I believe the improvement is a key challenge to reach future PostgreSQL such as Autonomous Database.



Thank you! Спасибо!

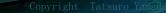
Plan for better Plan

yamatattsu@gmail.com

"elephants beach walk" by Senorhorst Jahnsen is licensed under CC BY 2.0



Any Questions?



Appendix

Innovative R&D by NTT

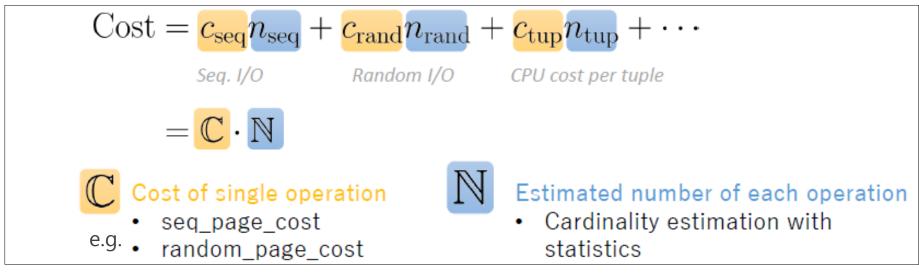
- Revisit Planner behavior
- •Control engineering: Feedback Loop
- •Limitations and status of pg_plan_advsr
- •Thoughts about future PostgreSQL
- •Other examples of verification effect
- •Brief explanation of pg_hint_plan's hints
- •Join order benchmark
- •Extensions from NTT OSS Center
- References





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- •The planner "guesses" a plan using cost base optimization.
- •With a simple notion of "cost", each plan node's cost can be calculated by the following formula [1].



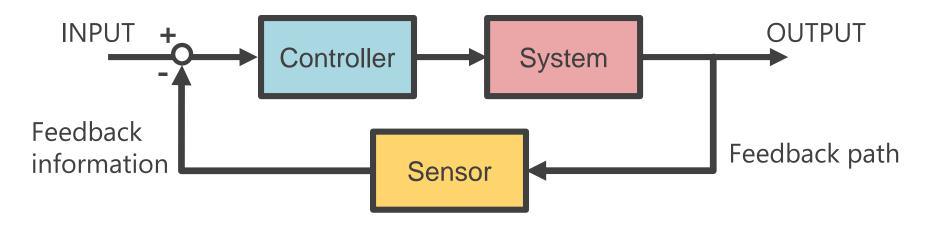
•If "C" or "N" is wrong, the cost estimate is wrong. That may lead to an inefficient plan.

NTT [1] cited from: "Beyond EXPLAIN: Query Optimization From Theory To Code" by YutoHayamizu, RyojiKawamichi PGCon 2016

Control engineering: Feedback Loop



- This is used to achieve the desired output.
- **Theory**: Feedback is a mechanism that compares the output target value with the actual output value and automatically controls the output value and the target value to be equal.







Limitations

- Only correct join row estimates (It is pg_hint_plan's limitation)
- Initplan and subplan are not supported
- Does not support concurrent execution ...

Future work

- Remove above limitations
- Reduce iterations by using sophisticated search argolism
- Create new feature which is sugest Extended Stats syntax
- Create "Tom Lane button" to send query and inefficient plan to pgsql-hackers ML to improve planner

• Status

• POC phase, now working on refactor a code



Thoughts about the future PostgreSQL

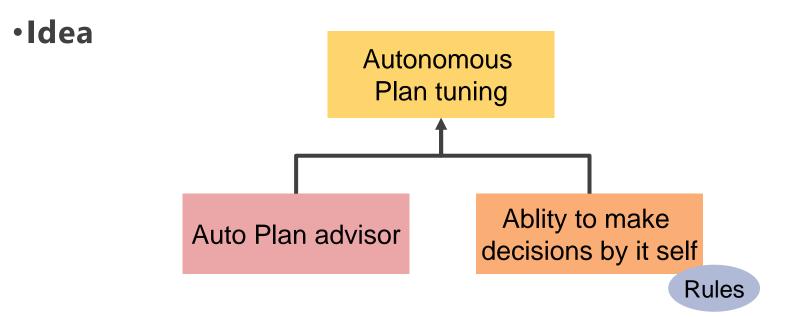
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Autonomous Database

Innovative R&D by NTT

Autonomous Features

- Upgrade
- Tuning
- Maintenance task

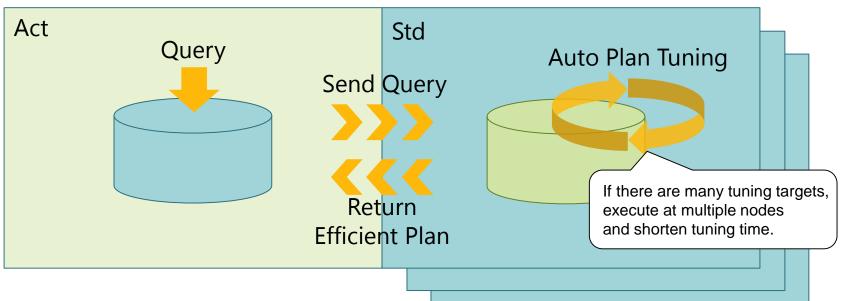




Ideas for autonomous databases using replicationed PostgreSQL



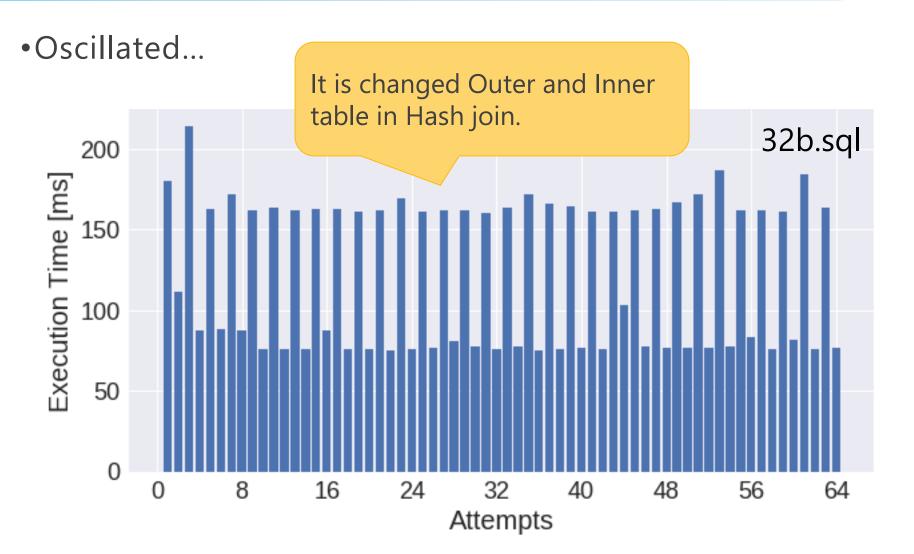
Rough concept



Building blocks

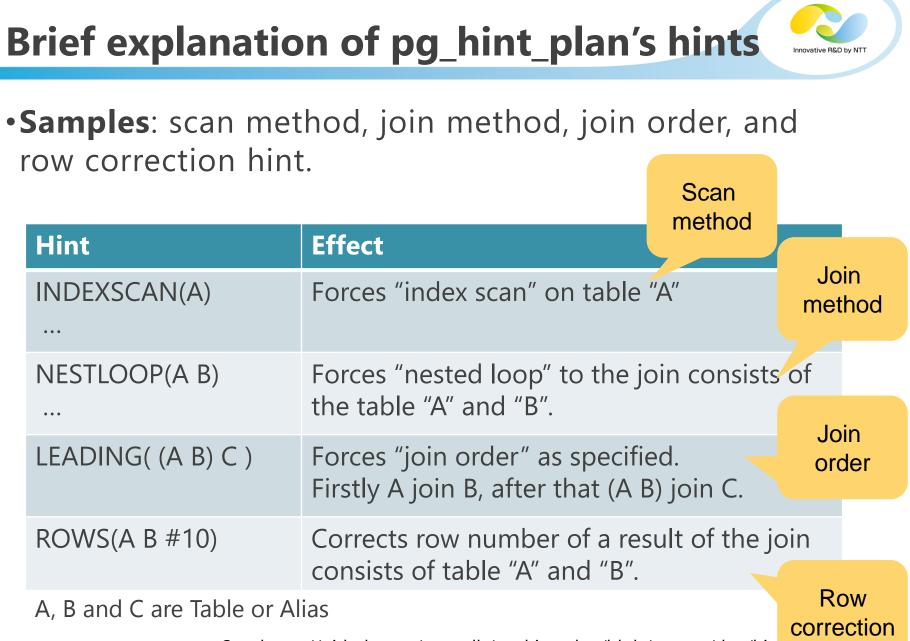
- Provide easy access to plan tree or explain analyze result: New hook
- Interfaces to adjust estimated rows on base/join relations: New API
- Avoid query cancellation on standby side: Improvement
- Store all plans like a pg_stat_statement: Improvement

<Examples> Sample: Not converged query (Q32b)





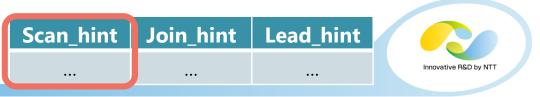
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🕐 NTT

See: https://github.com/ossc-db/pg_hint_plan/blob/master/doc/hin

Scan hints of Q16b



•Several Index scans replaced with Seq scans.

times	Scan_hint				
0	INDEXSCAN(cn) INDEXONLYSCAN(n) INDEXSCAN(an)				
1					
2					
3					
Δ	SEQSCAN(cn) SEQSCAN(n) SEQSCAN(an)				



Join hints of Q16b



•Some Join methods were changed.

times	Join_hint				
0	NESTLOOP(an ci cn k mc mk n t) NESTLOOP(ci cn k mc mk n t) 				
1					
2					
3					
4					
5	HASHJOIN(an ci cn k mc mk n t) HASHJOIN(ci cn k mc mk n t) 				



Join order benchmark

Innovative R&D by NTT

•GitHub

https://github.com/gregrahn/join-order-benchmark



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Extensions from NTT OSS Center

•GitHub

- https://github.com/ossc-db
 - pg_hint_plan
 - pg_store_plans
 - pg_dbms_stats
 - pg_reorg
 - pg_rman
 - pg_bulkload
 - dblink_plus
 - Syncdb
 - db_syntax_diff

SourceForge

- https://sourceforge.net/projects/pgstatsinfo/
 - pg_statsinfo
 - pg_stats_reporter



Innovative R&D by N

References



"How Good Are Query Optimizers, Really?"

by Viktor Leis, Andrey Gubichev, Atans Mirchev, Peter Boncz, Alfons Kemper, Thomas Neumann PVLDB Volume 9, No. 3, 2015 http://www.vldb.org/pvldb/vol9/p204-leis.pdf

"Query optimization through the looking glass, and what we found running the Join Order Benchmark"

by Viktor Leis, Bernhard Radke, Andrey Gubichev, Atanas Mirchev, Peter Boncz, Alfons Kemper, Thomas Neumann https://db.in.tum.de/~leis/papers/lookingglass.pdf

My session at PGCon 2016

 https://www.pgcon.org/2016/schedule/attachments/422_A%20Challenge%20of %20Huge%20Billing%20System%20Migration_20160520.pdf



References



• Beyond EXPLAIN: Query Optimization From Theory To Code

- by YutoHayamizu, RyojiKawamichi
- https://www.pgcon.org/2016/schedule/attachments/433_PGCON2016_beyond_ explain.pdf

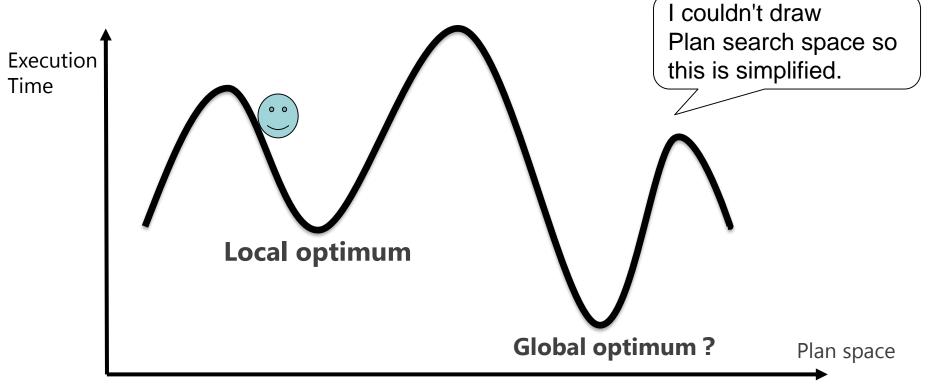
• AQO

- by Oleg Ivanov
- https://github.com/postgrespro/aqo



What is going on with this extension?

•pg_plan_advsr does Local search to find an efficient plan.



•In the search process, the plan may temporarily get worse.



Results



•Query execution time is **reduced by 50%** of the original (236sec -> 103sec)



•What's happened?



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Reverification of plan tuning effect



•I rechecked the plan tuning effect by using baseline and fastest plans.

Operations

- 1. Add fastest hints (Scan, Join method and Join order) to fastest.sql.
- 2. Shutdown PG and clear OS cache
- 3. Prewarm whole tables
- 4. Run "psql –f baseline.sql and fastest.sql" three times.

• Result							
	Types	first	second	third			
	baseline.sql	252.93	233.57	233.87			
	fastest.sql	138.58	118.40	118.38			
Reduced 48% on average, Good!!							

